

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Box PCT**, Commissioner for Patents, Washington, D.C. 20231 on May 28, 2002.

By: Nancy Ramos Printed: Nancy Ramos

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Yue et al.

Title: SORTING NEXINS

Serial No.: 09/744,313

Examiner: To Be Assigned

Filing Date: To Be Assigned

Group Art Unit: To Be Assigned

**BOX PCT**

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL FEE SHEET**

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return Receipt Postcard ;
2. Response to Notice of Defective Response(2 pgs.);
3. Copy of Notice of Defective Response dated 04/26/02;
4. Substitute Submission Under 37§ CFR 1.821-1.825 Sequence Listing (1 pg.);
5. One (1) Substitute CRF diskette containing the Sequence Listing; and
6. Courtesy copy of Executed Declaration.

The fee has been calculated as shown below

☒ No additional Fee is required.

☐ Fee for Petition for Extension of Time Under 37 CFR - 1.17(a) -      Mo(s). \$     

☒ Please charge Deposit Account No. **09-0108** in the amount of : \$     0    

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 28 May 2002

Diana Hamlet-Cox  
Diana Hamlet-Cox  
Reg. No. 33,302  
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## Certificate of Mailing

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

## RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on **April 26, 2002** (copy attached), Applicants submit the document(s) listed below to complete the filing for the above-identified patent application.

1. Response to Notice of Defective Response under 35 § U.S.C. 371 (2 pgs.);
2. Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
3. One (1) Substitute CRF **diskette** containing the Sequence Listing; and
4. Courtesy Copy of Executed Declaration (4 pgs.).

Applicants note that the requirement for submission of the Oath or Declaration contained in the Notification of a Defective Response is incorrect, as Applicants submitted the Declaration with their initial response to the Notification of Missing Requirements on August 20, 2001.

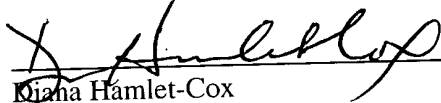
The Applicants believe that no fee is due with this communication. However, if the Commissioner determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) Deposit Account No. 09-0108.

If there are any questions regarding the above, the Examiner is invited to call the undersigned.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 28 May 2002

  
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Pharmaceuticals, Inc.  
Patent Department  
Received

MAY - 6 2002



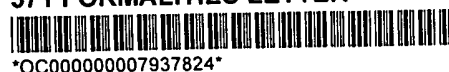
UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PC1  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/744,313	Henry Yue	PF-0706 USN
INTERNATIONAL APPLICATION NO.		
PCT/US00/14831		
I.A. FILING DATE	PRIORITY DATE	
05/26/2000		

Incyte Genomics  
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CONFIRMATION NO. 4434  
371 FORMALITIES LETTER



\*OC000000007937824\*

Date Mailed: 04/26/2002

### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fee
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/744,313	PCT/US00/14831	PF-0706 USN

FORM PCT/DO/EO/916 (371 Formalities Notice)